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Stocks and Shares ISA to Loyalty Cash ISA Transfer Form

Useful Guidance

Please complete using **black ink** and **BLOCK CAPITALS**. Please initial any alterations, as the use of correction fluid could invalidate this form.

- You must have an HSBC **current account** to open or transfer into a cash ISA.
- Please ensure that your personal details held with your current provider match what is included on this form.
- If you need any further assistance with the completion of this form, please chat with us 24/7 via Online Banking or the HSBC UK Mobile Banking App (subject to maintenance periods). You can also call us on 03457 404 404, lines are open 08:00 to 20:00. If you are an HSBC Premier customer, please call 03457 70 70 70, lines are open 08:00 to 20:00.
- Please hand this completed form into a branch or post to: **Customer Service Centre, BX8 1HB**.
- For more detail on how we will use your personal information, please see our Privacy Notice at hsbc.co.uk/privacy-notice. You can also ask for a copy in branch.

Please note: if you are wanting to transfer in existing cash ISAs from HSBC or from other providers please contact us on telephone numbers above or download a form at hsbc.co.uk/savings/isas.

1. What would you like to do? (all customers complete)

TRANSFER a stocks and shares ISA to a Loyalty Cash ISA

Complete sections 1, 2, 5 and 7 (plus 3, 4 and 6 if applicable)

TRANSFER a stocks and shares ISA to a Loyalty Cash ISA and REACTIVATE the Loyalty Cash ISA

Complete sections 1, 2, 5 and 7 (plus 3, 4 and 6 if applicable)

2. Your personal details (all customers complete)

Title Mr Mrs Miss Ms Other (please specify)

Surname

Forename(s)

Date of birth

D	D	M	M	Y	Y	Y	Y
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Permanent residential address

Postcode

Daytime phone number

Mobile phone number

Do you have a National Insurance Number? **If you only have a temporary National Insurance Number, please tick 'No'.**

Yes

No

If 'Yes', please enter it

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You should be able to find your National Insurance Number on a payslip, P45 or P60, a letter from HM Revenue and Customs, a letter from DWP or pension order book.

Please note: that if you have a National Insurance Number and it's not provided within 30 days of completion of this application the ISA will be voided.

3. Interest (only complete if relevant)

Interest will be paid monthly into your ISA. If you would prefer interest to be paid into your HSBC current account instead, complete your account details below.

Sort code - -

Account number

4. Power of Attorney (only complete if relevant)

If you are not the applicant, but hold a valid power of attorney, and are signing on behalf of the applicant, please enter your name in the box below and describe the legal capacity in which you are signing this form.

Name:

If you are signing this application under a Power of Attorney or other Authority for an investor who is incapacitated, please indicate the nature of the incapacity:

Mental incapacity

Physical incapacity

Legal Capacity	Please tick
Authorised in England and Wales under a Lasting Power of Attorney registered with the Office of the Public Guardian	
Authorised in England and Wales under an Enduring Power of Attorney made prior to 1 October 2007 (where the applicant is mentally incapacitated, this is registered with the Court of Protection)	
Appointed as a deputy by the Court of Protection	
Authorised in Northern Ireland under an Enduring Power of Attorney (where the applicant is mentally incapacitated it must be registered with the High Court (Office of Care and Protection))	
Authorised in Scotland under a Continuing Power of Attorney registered with the Office of the Public Guardian Scotland	
Authorised in Scotland under an Intervention Order issued by the Office of the Public Guardian Scotland	
Authorised in Scotland under a Guardianship Order	
Authorised under a General Power of Attorney where the applicant is a member of the armed forces on active service in a war zone	
Authorised under a General Power of Attorney (for applicants to transfer in only)	
Authorised in England and Wales under a General or Ordinary Power of Attorney (where the applicant is physically incapacitated)	
Authorised in England and Wales under an Enduring Power of Attorney made prior to 1 October 2007 (where the applicant is physically incapacitated, this is not registered with the Court of Protection)	
Authorised in Northern Ireland under an Ordinary Power of Attorney (where the applicant is physically incapacitated)	

5. Declaration (all customers complete)

Please do not strike through any wording on this page as this will void this application.

I apply to subscribe to a Loyalty Cash ISA for the tax year commencing 6 April 2023 and each subsequent tax year until further notice (this election simply makes it easy for you to subscribe to each subsequent tax year's ISA – it is not a commitment on your part to subscribe each year), and/or apply to transfer to a Loyalty Cash ISA (as per Section 1).

I declare that:

- I am 16 years of age or over
- I have received the Loyalty Cash ISA brochure and agree to the HSBC cash ISA Terms and Conditions

If I am applying to subscribe, I also declare that:

- All subscriptions made, and to be made, belong to me;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to any combination of the permitted ISAs in the same tax year;
- I have not subscribed and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA;
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform HSBC UK Bank plc if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- I have received a copy of the UK Financial Services Compensation Scheme (FSCS) Information Sheet and Exclusions List.

I authorise HSBC UK Bank plc:

- to hold my cash subscription and any interest earned by those subscriptions;
- to make on my behalf any claims to relief from tax in respect of ISA investments.

I declare that this application form has been completed to the best of my knowledge and belief. I will notify HSBC UK Bank plc without any delay of any change in my circumstances affecting any of the information given in this Application. Where the information I have supplied relates to other people I declare that I am authorised by them to disclose that information and to accept the terms on their behalf. Bank records will be updated from the information provided, where appropriate.

Credit Reference Agencies (CRAs) Information

In order to process your application, we need to carry out checks to verify your identity, address and other personal details.

We will check your personal details e.g. name, address, date of birth, details of any shared credit or financial history, with credit reference agencies. This search will not be visible to other providers and is known as an unrecorded entry search. We may also search the Electoral Register and other public sources. If you are providing Information about others, it is important that you tell them about this and that they know that a search may be carried out on them.

Fraud Prevention Information

The personal information we have collected from you will be shared with fraud prevention agencies who will use it to prevent fraud and money-laundering and to verify your identity. If fraud is detected, you could be refused certain services, finance or employment.

More information on credit scoring, and how we, CRAs and fraud prevention agencies may use your information for these purposes and your data privacy rights, is set out in a leaflet entitled Credit Scoring, Credit Reference and Fraud Prevention Agencies available on our website, or we can post you a brochure.

Information about Products and Services

If you agree, the HSBC Group may use and share relevant information about you, your transactions and your relationships with the HSBC Group, to give you information about products, services (including mortgages) and promotions available from members of the HSBC Group and selected third parties which may interest you by post, telephone, electronic and other means.

By completing this application you will be allowing to the use of your information for this unless you tick the appropriate box(es) below to indicate that you do not wish to receive such information:

No post

No email

No mobile messaging

No telephone

No SEM (Secure E-Message)

By signing this application, you understand that we will use your personal information as set out in the Privacy Notice. Please see our Privacy Notice at [hsbc.co.uk/privacy-notice](https://www.hsbc.co.uk/privacy-notice).

Signature

Date

D	D	M	M	Y	Y	Y	Y
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7. Transfer Authority Form (all customers complete)

Information about the Stocks and Shares ISA you want to transfer

Name of existing ISA
provider

Address of existing ISA
provider

Postcode

ISA number

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Please Note: As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example:

- Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g., when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay before the transfer goes ahead.

If you are not sure what conditions apply please check with your existing stocks and shares ISA provider before completing this form.

1. Do you want to transfer all or part of your ISA?

All:

Part:

If you answered 'All' to question 1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new provider in its entirety. Please go to the Transfer Authority section below.

2. Have you subscribed to this stocks and shares ISA in the current tax year?

Yes:

No:

If you answered "Part" to question 1, please answer questions 3 – 5 below

Partial Transfers

3. If you answered yes to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? Yes No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

4. If you only want to transfer your subscriptions from the current tax year, tick here

or

If you only want to transfer all of your subscriptions from previous tax years, tick here

or

If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subs if you answered yes to Q3) tick here

Please provide sale instructions by answering question 5.

5. Stocks, Shares and/or Funds that you wish to sell

Please check your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form. Please note that you may be contacted by your existing ISA provider to agree what to sell.

Please use the box below to give instructions to your current stocks and shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with HSBC UK Bank plc. Alternatively, you may provide this information by supplying a separate list, e.g., by annotating a valuation statement from your provider.

Please note that you may be contacted by your existing ISA manager to agree what to sell.

Description of Investment	Investment Indicator e.g., ISIN, Sedol, Cuip etc	Number or value of Stocks, Shares, Units or specify "All" to sell the entire holding

6. Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your stocks and shares ISA you want to transfer £

or, if you want to transfer all of your uninvested cash balance, tick here

Transfer authority

I authorise my existing ISA provider as specified above to sell investments and transfer the ISA in cash (account number above) to HSBC UK Bank plc.

I authorise my existing ISA provider to provide HSBC UK Bank plc with any information, written or non-written, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shared ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either:

1. wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer;

or

2. depending on the terms and conditions, carry out the transfer as soon as possible – I will accept any consequential loss of income, capital or charges that may be applied

Date

Signature

Transfer acceptance (your new ISA provider fills this part in)

We are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only.

- We must receive the transfer proceeds no later than

- Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must not be more than

£

- Residual Income

- We do not accept residual income payments

or

- We must receive all residual income payments no later than

Any residual income payments after this date must be paid away to the customer.

- We do not accept residual payments if they are below

£

These funds should be paid away to the customer.

Date

Name of new ISA provider

For Branch use only

Branch Contact

Date application received

Accessibility

If you need any of this information in a different format, please let us know. **This includes large print, braille, or audio.** You can speak to us using the live chat on our website, by visiting one of our branches, or by giving us a call.

There are also lots of other options available to help you communicate with us. Some of these are provided by third parties who are responsible for the service. These include a Text Relay Service and a British Sign Language (BSL) Video Relay Service, to find out more please get in touch. You can also visit [hsbc.co.uk/accessibility](https://www.hsbc.co.uk/accessibility) or [hsbc.co.uk/contact](https://www.hsbc.co.uk/contact).

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