

CIN

HSBC Help to Buy: ISA Application, Transfers In and Reactivation Form

This form must be accompanied by a copy of the

- HSBC Help to Buy: ISA Terms and Conditions
- Financial Services Compensation Scheme Information Sheet and Exclusions List

Useful Guidance

Please complete using **black ink** and **BLOCK CAPITALS**. Please initial any alterations, as the use of correction fluid could invalidate this form.

- If you are transferring an existing Help to Buy: ISA from another provider, please ensure that your personal details held with your current provider match what is included on this form.
- If you need any further assistance with the completion of this form, please call on 03457 404 404 (textphone 03457 125 563), lines are open 8am to 10pm or if you are an HSBC Advance customer lines are open 24 hours a day. If you are an HSBC Premier customer, please call on 03457 707 070 (textphone 03457 125 563), lines are open 24 hours a day.
- For customers who already have an account with HSBC, please hand this completed form into a branch or post to:
HSBC UK Bank plc, PO Box 6167, Coventry CV3 9HL.
- For new customers, please take this form into a branch together with proof of identity and proof of address.
- For more detail on how we will use your personal information, please see our Privacy Notice at www.hsbc.co.uk/privacy-notice. You can also ask for a copy in branch."

1. What would you like to do? (all customers complete)

Signatures are required in sections 6, 7 and 8 if applicable.

Bank use only

APPLY to subscribe to a new Help to Buy: ISA or REACTIVATE (re-start subscriptions) an existing Help to Buy: ISA
Complete sections 1, 2, 3, 4 and 6 (plus 5 and 7 if applicable)

XHBIAO

TRANSFER a Help to Buy: ISA from another provider to a HSBC Help to Buy: ISA
Complete sections 1, 2, 3, 4, 6 and 8 (plus 5 and 7 if applicable)

XHBITR

2. Your personal details (all customers complete)

Title Mr Mrs Ms Miss Dr Other (please specify)

Surname

First name(s)

Other initials

Date of birth

Gender Male Female

Are you: Married Single Widowed Divorced Separated Living with partner

Number of dependent children

Proof of identity (eg, Passport no.)

Country of birth

Nationality/Citizenship (If you hold more than one nationality include these below – you may include up to three).

Nationality/Citizenship 1

Nationality/Citizenship 2

Nationality/Citizenship 3

In which country are you a resident for tax purposes? (If you hold more than one, include these below – you may include up to three)

Country 1

Country 2

Country 3

Are you: A tenant Living with family Halls of residence Other

Permanent Home Address

Postcode

Date moved to this address

Please give your previous address if you've been at your present address for less than three years.

Previous Address

Postcode

Date moved to this address

Home Tel. No.

Ex-directory Yes No

Work Tel. No. EXT

Mobile Tel. No.

Ex-directory Yes No

Email address

Do you have a National Insurance Number? – **If you only have a temporary National Insurance Number, please tick 'No'.**

You should be able to find your National Insurance Number on a payslip, P45 or P60, a letter from HM Revenue & Customs, a letter from DWP or pension order book.

Yes No

If 'Yes', please enter it

Please note that if your National Insurance Number is not provided within 30 days of completion of this application the ISA will be void.

Are you permanently resident in the UK? – If 'No', you may need to complete a 'Non-Resident Regulatory Details Form',

Yes No

– available in branch or by calling the applicable number on page 1 of this form.

3. Employment

Are you:

<input type="checkbox"/> Employed full-time	<input type="checkbox"/> Employed part-time	<input type="checkbox"/> Self-employed
<input type="checkbox"/> Other full time education	<input type="checkbox"/> A student	<input type="checkbox"/> Receiving a pension
<input type="checkbox"/> A homemaker	<input type="checkbox"/> Unemployed	

If you are a student, what is the name of the college/university you are attending?

If you are in employment/self-employed please complete:

Employer's name

Business address
(in full)

Postcode

Nature of business

Date commenced
present employment

Occupation

If self-employed, at
which bank is your
business account held?

4. Financial Information

As part of our on going commitment to managing financial crime risk, we need to ask you the following questions about how you intend to use your account. Please answer each of the following questions as accurately as you can.

What is your total
annual income
(Before tax and
deductions)?

Net Monthly income

Net Monthly expenditure

When are you paid?

(eg, every Thursday, 20th, monthly, etc.)

How are you paid?

<input type="checkbox"/> Cash	<input type="checkbox"/> Cheque	<input type="checkbox"/> Direct to Bank/BACS
-------------------------------	---------------------------------	---

Will there be any
regular monthly credits
paid into the account

<input type="checkbox"/> Yes	<input type="checkbox"/> No
------------------------------	-----------------------------

If you answered 'yes'
to the question above,
what will be the source
of these regular monthly
credits?
(Tick one)

<input type="checkbox"/> Employment pay	<input type="checkbox"/> Benefit/Welfare	<input type="checkbox"/> Pension payment	<input type="checkbox"/> Student Loan
<input type="checkbox"/> Transfer/Standing Order from personal account (HSBC)			
<input type="checkbox"/> Transfer/Standing Order from personal account (non-HSBC)			

Other (Please specify)

How much cash do you
expect to pay into the
account on a regular
monthly basis?

<input type="checkbox"/> £0	<input type="checkbox"/> £1-100	<input type="checkbox"/> £101-200
-----------------------------	---------------------------------	-----------------------------------

What is the source of
these cash deposits?

<input type="checkbox"/> Salary	<input type="checkbox"/> Sale of personal goods	<input type="checkbox"/> Gambling	<input type="checkbox"/> Gift
---------------------------------	---	-----------------------------------	-------------------------------

Other (Please specify)

6. Declaration (all customers complete)

I apply to subscribe to and/or apply to transfer (as per Section 1) to a Help to Buy: ISA for the tax year commencing 6 April 2018 and each subsequent tax year until further notice. (This election simply makes it easy for you to subscribe to each subsequent tax year's ISA – it is not a commitment on your part to subscribe each year.)

I declare that:

- I am 16 years of age or over
- I have received the Help to Buy: ISA brochure and agree to the Help to Buy: ISA terms and conditions

If I am applying to subscribe, I also declare that:

- All subscriptions made, and to be made, belong to me;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year;
- I have not subscribed and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA;
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform HSBC UK Bank plc if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

Help to Buy: ISA Eligible Customer Declaration

I confirm, for the benefit of the Administrator and HM Treasury, that by making this Eligible Customer Declaration:

1. I do not own, and never have owned, any interest in land, whether in the United Kingdom or elsewhere, which:

A) is:

i) in England or Wales:

- a) freehold;
- b) leasehold, where the lease was originally granted for a term certain exceeding 21 years; or
- c) commonhold; or

ii) in Scotland, registered or recorded, or would be capable of being registered or recorded, as a right of absolute ownership in the Land Register of Scotland or General Register of Sasines in Scotland, as applicable; or

iii) in Northern Ireland:

- a) freehold; or
- b) leasehold, where the lease was originally granted for a term certain exceeding 21 years; or

iv) an equivalent interest in land to the interests in land listed in paragraphs (A)(i) to (iii) above under the laws of any jurisdiction outside the United Kingdom;

B) comprises a building that is used or suitable for use as a dwelling, or is in the process of being constructed or adapted for such use; and

C)

- i) which I acquired as a purchaser by way of sole or joint ownership; or
- ii) which entitles me to possess or occupy that land.

2. I have not previously received payment of a Bonus under the Help to Buy: ISA Scheme Rules (unless the full amount of such Bonus has subsequently been repaid to the Administrator in accordance with the Scheme Rules).

3. I have not paid, and will not pay, into this Help to Buy: ISA more than the applicable monthly allowance, as set out in the Scheme Rules.

4. I understand that I can only hold one Help to Buy: ISA at any time.

5. I have been supplied with, or have had made available to me, a copy of the Scheme Rules, or have been provided with, or had made available to me, a link to a website where the Scheme Rules can be accessed, and I agree to be bound from the date of this agreement as an Eligible Customer by the Scheme Rules, as such may be amended and/or restated from time to time.

I authorise HSBC UK Bank plc:

- To open an account in my name.
- To hold my cash subscriptions and any interest earned by those subscriptions.
- To make on my behalf any claims to relief from tax in respect of ISA investments.
- Bank records will be updated from the information provided, where appropriate.
- I agree to the HSBC Help to Buy: ISA Terms and Conditions.

I declare that this application form has been completed to the best of my knowledge and belief. I will notify HSBC UK Bank plc without any delay of any change in my circumstances affecting any of the information given in the Application. Where the information I have supplied relates to other people I declare that I am authorised by them to disclose that information and to accept the terms on their behalf. Bank records will be updated from the information provided, where appropriate.

Information about Products and Services

With your permission, the HSBC Group may use and share relevant information about you, your transactions and your relationships with the HSBC Group, to give you information about products, services (including mortgages) and promotions available from HSBC Group companies and those of selected third parties which may interest you by post, telephone, electronic and other means.

The HSBC Group may also exchange, analyse and use relevant information about you in the way described above to ensure that promotional content displayed to you on screen when you log on to HSBC Group websites is more likely to be relevant and of interest.

If you do not want us to contact you about such products and services or use what we know about you to help decide what we display to you on our websites, please let us know. By completing this application you will be allowing the use of your information for this unless you tick the appropriate box(es) below to indicate that you do not wish to receive such information:

- | | |
|--|--|
| <input type="checkbox"/> No post | <input type="checkbox"/> No email |
| <input type="checkbox"/> No telephone | <input type="checkbox"/> No mobile messaging |
| <input type="checkbox"/> No SEM (Secure E-Message) | |

By signing this application, you understand that we will use your personal information as set out in our Privacy Notice. Please see our Privacy Notice at www.hsbc.co.uk/privacy-notice.

Signature

Date

By signing below and solely in relation to my Help to Buy: ISA, I provide my express consent to allow HSBC to share:

- relevant personal data and information with UK Asset Resolution Limited (UKAR) (including agents, subcontractors and/or any party appointed to carry out administrative duties of Help to Buy: ISA by HM Treasury) and HM Treasury itself.

Signature

Date

8. Transfer Authority Form

Please ensure personal details held with your current provider match what is written below. If details do not match, your transfer may be delayed.

We will automatically open a HSBC Help to Buy: ISA as part of the transfer process.

Your personal details

Title Mr Mrs Miss Ms Other (please specify)

Surname

Forename(s)

Date of birth

Permanent residential address

Postcode

Do you have a National Insurance Number? Yes No If 'Yes', please enter it

Details about the Help to Buy: ISA you want to transfer

Name of the existing ISA Manager
(eg, bank or building society)

Help to Buy: ISA details

Branch Sort code - - Bank/Building Society Account number
(Bank accounts 8 digits only)

Roll number (for Building Society accounts only)

Your Transfer Authority

I authorise my existing ISA Manager (as specified above) to transfer the Help to Buy: ISA (account number above) to HSBC UK Bank plc.

I authorise my existing ISA Manager to provide HSBC UK Bank plc with any information, written or verbal, concerning the cash ISA detailed above and accept any instructions from them relating to the Help to Buy: ISA being transferred.

If a period of notice is required for closure of the existing Help to Buy: ISA, I give my consent to either:

1. Serve the full notice period or wait until the scheduled maturity date (as appropriate) before this instruction can be processed; or
2. Proceed immediately with the transfer bearing any consequential fee which may be payable

Signature

Date

Please hand this completed form into a branch or post to:
HSBC UK Bank plc, PO Box 6167, Coventry CV3 9HL.

For Branch use only

Branch stamp

Branch contact

Date application received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Please return this completed form to: **HSBC UK Bank plc, PO Box 6167, Coventry CV3 9HL.**

For Banking Operations use only

Transfer Acceptance (to be completed by new ISA Manager):

We, HSBC UK Bank plc are willing to accept this investor's cash ISA funds, subject to HMRC rules (the ISA regulations) and as long as the following conditions are met.

We must receive the transfer proceeds no later than:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

For the purposes of the transfer of the ISA under the ISA regulations, the date shown below will be the transfer date.

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Name of new provider

hsbc.co.uk

Issued by HSBC UK Bank plc
Customer Information:
PO Box 6201, Coventry CV3 9HW

